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PATENT APPLICATION FEE DETERMINATION RECORD

Substitute for Form PTO-875

Application or Docket Number

09/977065

CLAIMS AS FILED – PART I

(Column 1)

(Column 2)

| FOR | NUMBER FILED | NUMBER EXTRA |
|---|--------------|--------------|
| BASIC FEE (37 CFR 1.16(a)) | | |
| TOTAL CLAIMS (37 CFR 1.16(c)) | minus 20 = | * |
| INDEPENDENT CLAIMS (37 CFR 1.16(b)) | minus 3 = | * |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d)) | | |

SMALL ENTITY

OR

OTHER THAN
SMALL ENTITY

| RATE | FEE |
|--------------|----------|
| | \$ _____ |
| X \$ _____ = | |
| X \$ _____ = | |
| + \$ _____ = | |
| TOTAL | |

OR

OR

OR

OR

OR

| RATE | FEE |
|--------------|----------|
| | \$ _____ |
| X \$ _____ = | |
| X \$ _____ = | |
| + \$ _____ = | |
| TOTAL | |

* If the difference in column 1 is less than zero, enter "0" in column 2.

CLAIMS AS AMENDED – PART II

(Column 1)

(Column 2)

(Column 3)

| AMENDMENT A | | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|---|---------------------------------|---|-------|---|------------------|
| | Total (37 CFR 1.16(c)) | * | Minus | ** | = |
| | Independent (37 CFR 1.16(b)) | * | Minus | *** | = |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) | | | | | |

SMALL ENTITY

OR

OTHER THAN
SMALL ENTITY

| RATE | ADDI- TIONAL FEE |
|--------------------|------------------------|
| X \$ _____ = | |
| X \$ _____ = | |
| + \$ _____ = | |
| TOTAL ADD'L FEE | |

OR

OR

OR

OR

| RATE | ADDI- TIONAL FEE |
|--------------------|------------------------|
| X \$ _____ = | |
| X \$ _____ = | |
| + \$ _____ = | |
| TOTAL ADD'L FEE | |

(Column 1)

(Column 2)

(Column 3)

| AMENDMENT B | | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|---|---------------------------------|---|-------|---|------------------|
| | Total (37 CFR 1.16(c)) | * | Minus | ** | = |
| | Independent (37 CFR 1.16(b)) | * | Minus | *** | = |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) | | | | | |

RATE

ADDI-
TIONAL
FEE

| | |
|--------------------|--|
| X \$ _____ = | |
| X \$ _____ = | |
| + \$ _____ = | |
| TOTAL ADD'L FEE | |

OR

OR

OR

OR

| | |
|--------------------|--|
| X \$ _____ = | |
| X \$ _____ = | |
| + \$ _____ = | |
| TOTAL ADD'L FEE | |

(Column 1)

(Column 2)

(Column 3)

| AMENDMENT C | | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|---|---------------------------------|---|-------|---|------------------|
| | Total (37 CFR 1.16(c)) | * | Minus | ** | = |
| | Independent (37 CFR 1.16(b)) | * | Minus | *** | = |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) | | | | | |

RATE

ADDI-
TIONAL
FEE

| | |
|--------------------|--|
| X \$ _____ = | |
| X \$ _____ = | |
| + \$ _____ = | |
| TOTAL ADD'L FEE | |

OR

OR

OR

OR

| | |
|--------------------|--|
| X \$ _____ = | |
| X \$ _____ = | |
| + \$ _____ = | |
| TOTAL ADD'L FEE | |

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|---|---|-----------------------|
| In re Patent Application of: |) | Atty Docket No.: |
| BHADWAJ ET AL |) | 25-4 US 78186 |
| |) | |
| Serial No. 09/977,065 |) | Art Unit: 2882 |
| |) | |
| Filing Date: October 12, 2001 |) | Examiner: |
| |) | K Suchecki |
| Confirmation No. 1790 |) | |
| |) | |
| For: WAVEGUIDE STRESS ENGINEERING |) | |
| AND COMPATIBLE PASSIVATION IN PLANAR |) | |
| LIGHTWAVE CIRCUITS |) | |

Mail Stop Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
U.S.A.

AMENDMENT

Dear Sir:

In response to the Office Action dated April 7, 2004
please amend the above-identified application as follows:
08/17/2004 TDMKINS 00000001 501463 05/17/065
01 FC:1251 110-00-110
Amendments to the Claims are reflected in the listing of
claims, which begins on page 2 of this paper.

Remarks begin on page 18 of this paper.

In re Patent Application of:

BHARDWA ET AL

Serial No. **09/977,065**

Filed: **OCTOBER 12, 2001**

therefore does not add any stress to the previously stress-engineered system over which it is deposited."

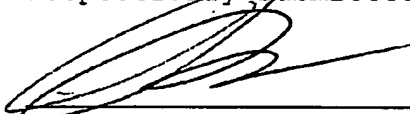
In view of the foregoing, it is respectfully submitted that all of the claims remaining in the application are in condition for allowance.

Early and favorable consideration would be appreciated.

Should any minor informalities need to be addressed, the Examiner is encouraged to contact the undersigned attorney at the telephone number listed below.

Please charge any shortage in fees due in connection with the filing of this paper, including Extension of Time fees, to Deposit Account No. 50-1465 and please credit any excess fees to such deposit account.

Respectfully Submitted,



CHARLES E. WANDS

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